

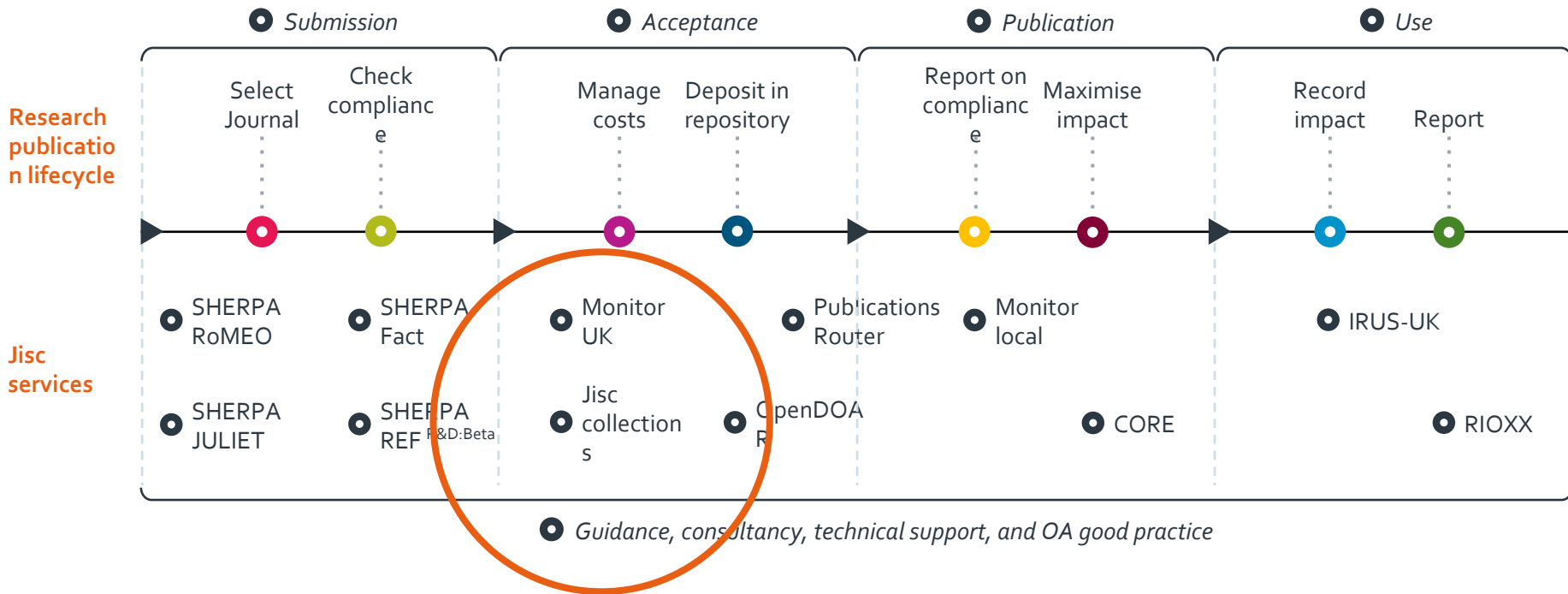
23/11/2017

The Development of consortial approaches to open access in the UK

Carolyn Alderson, Deputy director of Jisc Collections

- » Jisc's support for Open Access
 - » Current status of negotiations
 - › Progress to date
 - » The challenge
 - » Changing attitudes towards OA agreements
 - › From optimism to pessimism and scepticism
 - » The evolving approach to negotiation
-

Jisc's support for open access- Journals



<https://www.jisc.ac.uk/content/open-access/our-role>

Supported our consortium members in the context of OA Policy nationally and internationally:

- » Universities UK Open Access Coordination Group and sub groups:
 - › efficiency, service standards, repositories & monographs
- » RLUK OA Publisher Processes Group
- » RCUK Open Access Practitioners Group
- » European Commission - OpenAIRE: National OA Desk

Developed our service offering

Licensing and negotiation

- » Pure Gold
- » Hybrid – offsetting agreements
- » Green
- » Journals and monographs

Why?

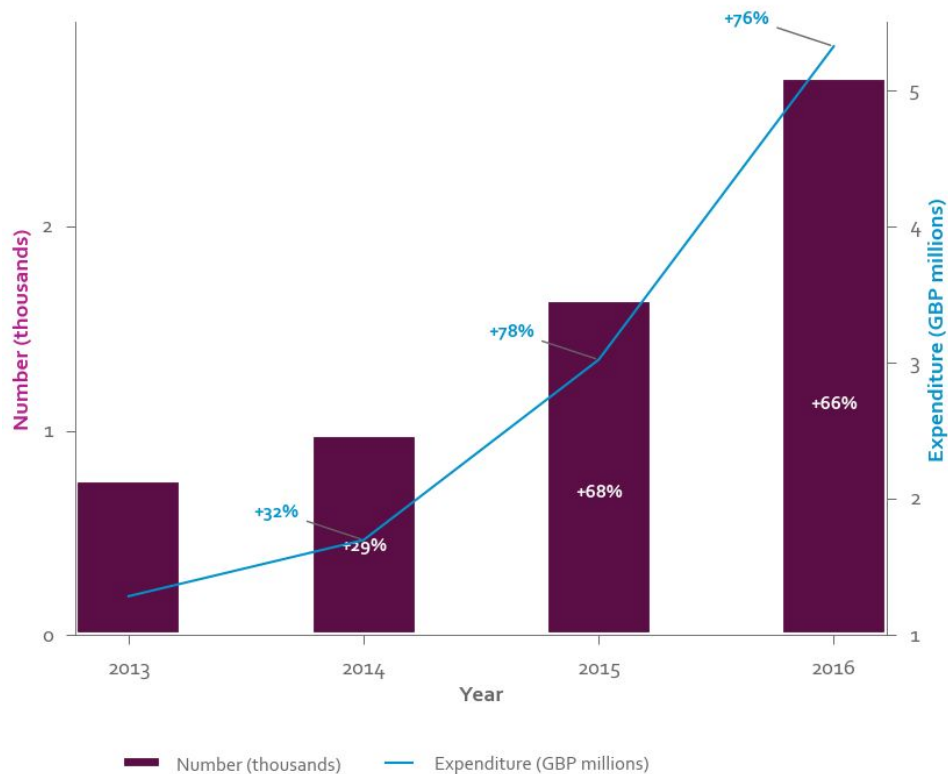
- » Constrain costs
- » Support the transition to OA
- » Provide efficiencies
- » Promote compliance
- » Support the development of a functioning market place

-
- » Pure Gold Open Access consortium agreements:
Hindawi, BMC, PeerJ, Ubiquity Press
OLH, Open Book Publishers.
 - » OA consortium initiatives:
SCOAP₃
arXiv
Knowledge Unlatched
Reveal Digital's – Independent Voices
-

- » BMJ
- » De Gruyter
- » Elsevier
- » Georg Thieme Verlag
- » IOP (Institute of Physics) Publishing
- » And we expect to announce more in the near future...
- » SAGE Publishing (including the Royal Society of Medicine and the Institution of Mechanical Engineers)
- » Springer Nature
- » Taylor & Francis
- » Wiley
- » Royal Society of Chemistry

Increase in the volume of open access from UK authors

Fig 4: Growth in APCs 2013-2016, 10 institutions (outlier removed)



Over £5 million saved in APC costs in 2016

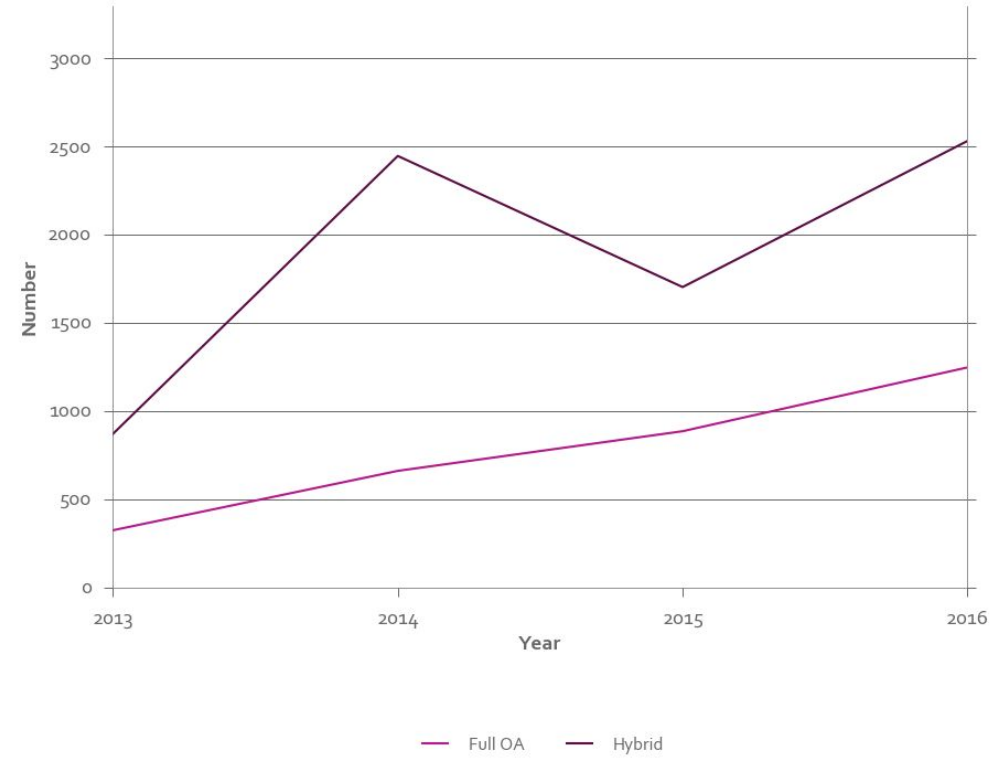
“For the sample of 38 UK institutions in this report, the combined value of offset agreements across all publishers is £5.5m[...] the total value of the six offset agreements in 2016 can be estimated at £8m.”

Lawson, Stuart (2017): Report on offset agreements: evaluating current Jisc Collections deals. Year 2 – evaluating 2016 deals. figshare.

<https://doi.org/10.6084/m9.figshare.5383861.v1> Retrieved : 15:37, Nov 17, 2017 (GMT)

Growth of Pure Gold OA

Fig 26: Number by journal type 2013-2016, 11 institutions



Four years ago we rarely covered:

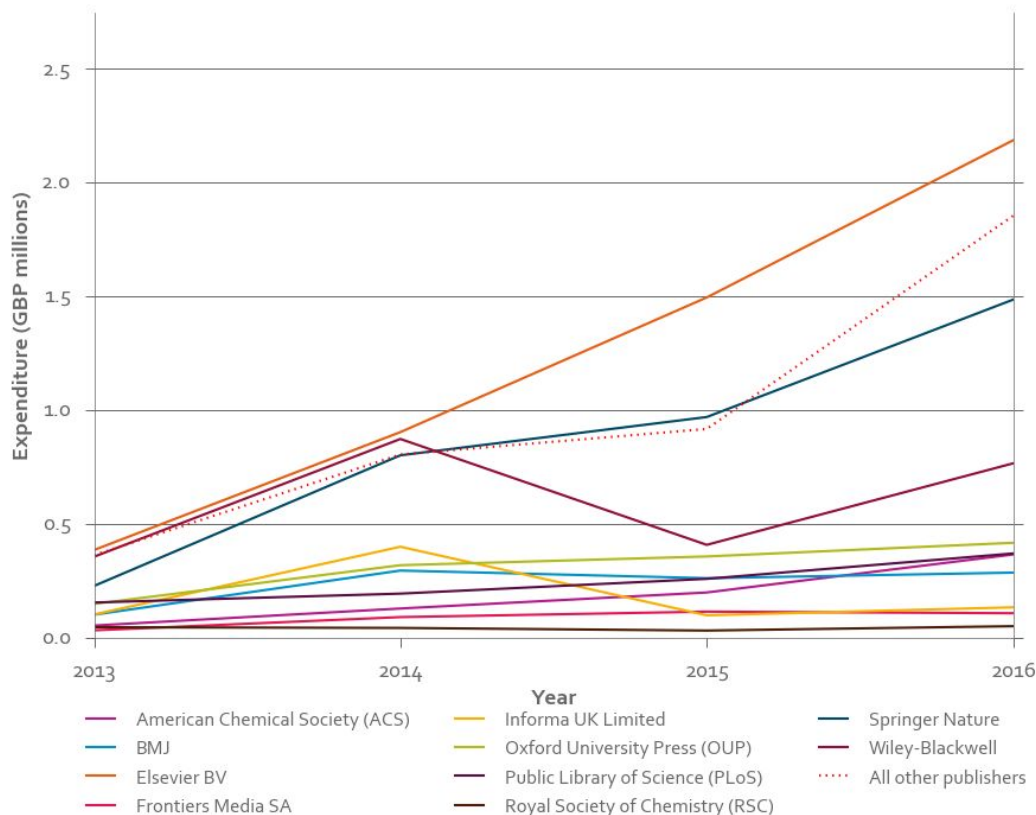
- » Service level agreements
- » Read and publish models
- » Workflows
 - › For APCs
 - › For authors

Whilst there has never been more OA published by the UK, there has never been more pessimism about OA from many UK stakeholders

Changing attitudes towards OA agreements

Whatever happened to the transition?

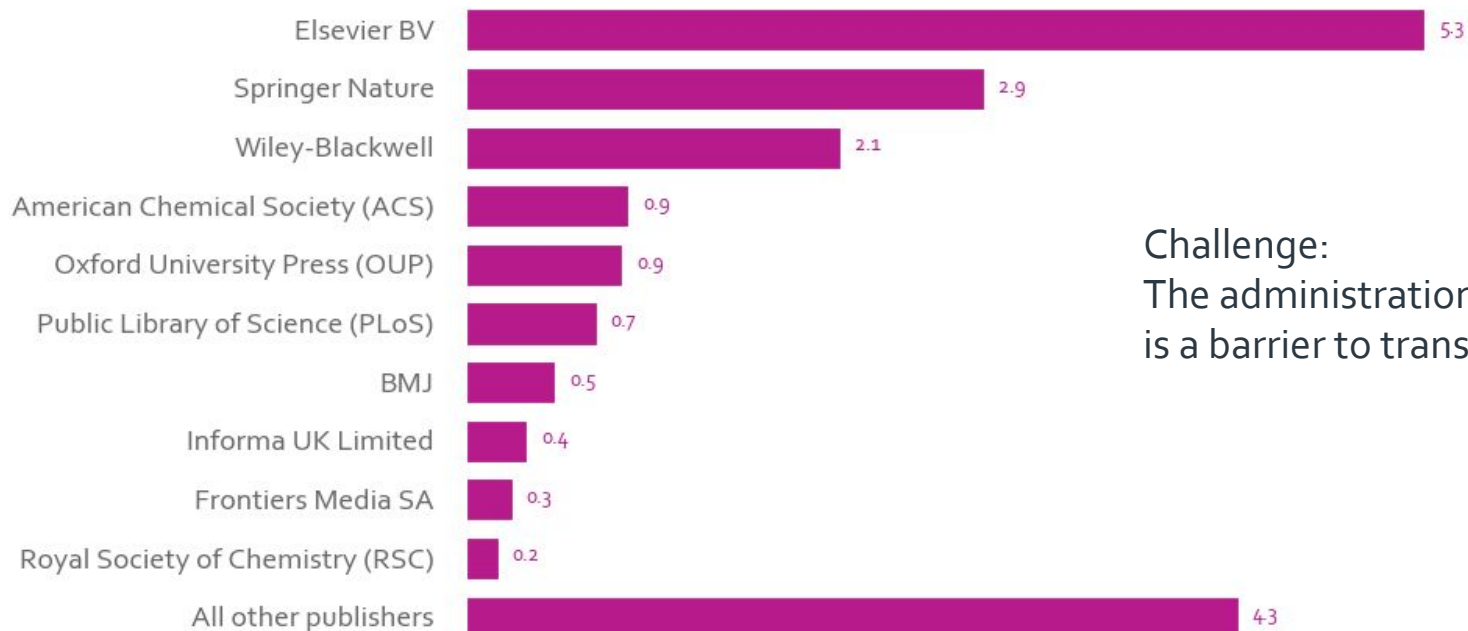
Fig 20: Expenditure (GBP millions) by publisher 2013-2016, 11 institutions



Challenge:

What are appropriate offset models to constrain costs and make the transition?

Fig 14: Expenditure (GBP millions) 2016, 38 institutions



Challenge:
The administration of APCs
is a barrier to transition

Growth of expenditure on Big Deals

Challenge: author's freedom of choice to publish in hybrid journals limits a transition

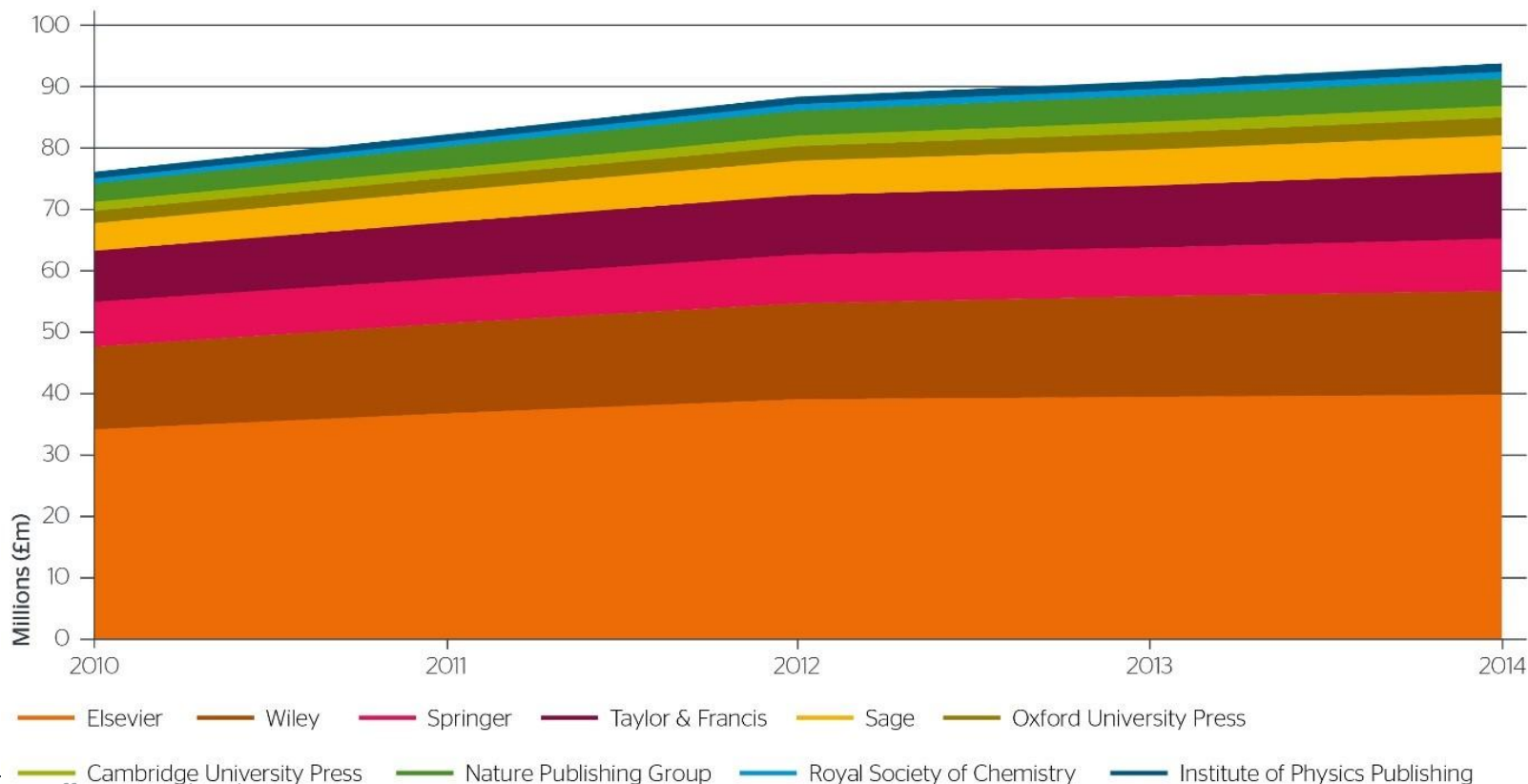
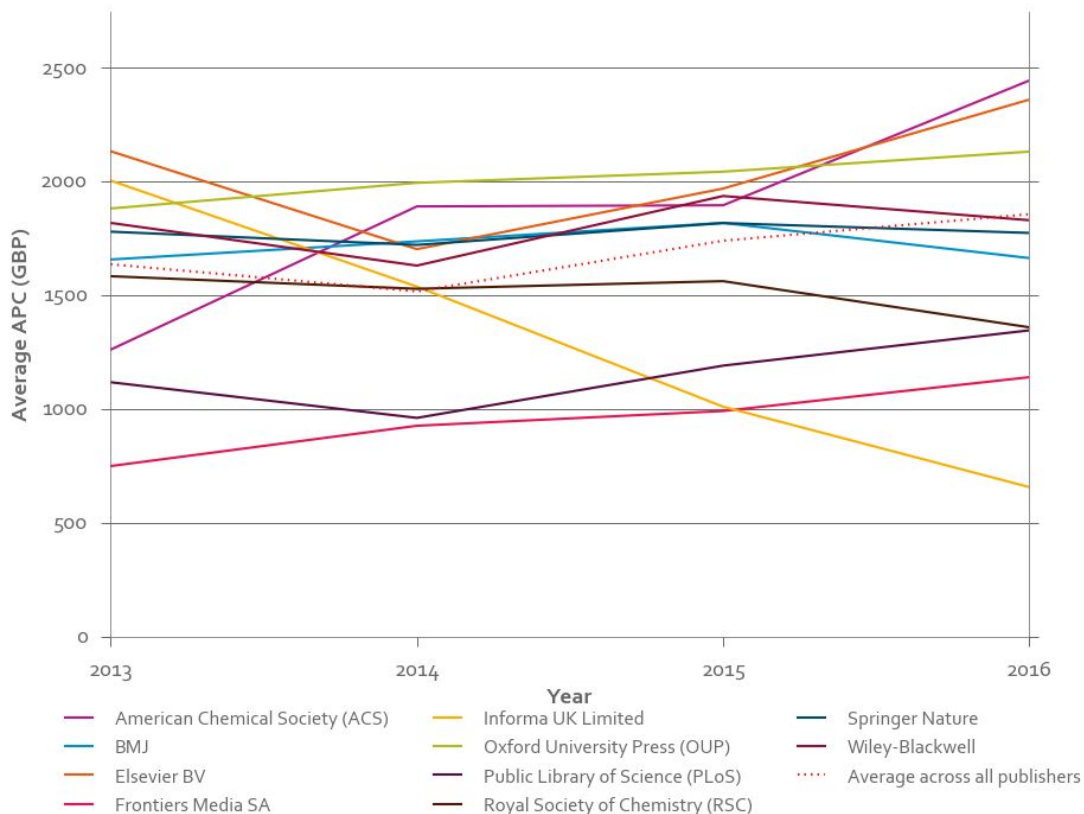


Fig 21: Average APC (GBP) by publisher 2013-2016, 11 institutions



- » It cannot lead to a transition to full OA
 - » It reinforces the association of Gold OA with payment of APCs
 - » It incentivises price rises for both subscriptions and APCs
 - › For both legacy and pure gold publishers
 - › It entrenches the 'article' as the primary unit of assessment
-

- » Focus on agreements that support a transition
 - › Move away from minor discounts on APCs
- » Addition of active service level agreements
 - › Focus on workflow, reporting, transparency, accountability
 - › Update Jisc model licence
- » Enhance support for Green OA
- » Support a broader range of OA options and suppliers

- » Take advantage of new opportunities in collections

SCHEDULE 6
OPEN ACCESS PROVISIONS

1 Definitions

In this Schedule, the following terms shall have the following meanings:

“Access Support Hours” means 8.00am to 6.00pm in the United Kingdom, on a Working Day.

“Article Metadata” means data describing any article or research paper and includes index terms, bibliographic information, headers, references, digital object identifiers (DOIs), unique identifiers, dates, keywords, funder information, grant identifier, author affiliations, abstracts, or any other metadata which the Publisher agrees to make available to Jisc Collections as part of the data feeds to JISC Collections and/or JISC.

“Embargo Period” means the period, if any, following publication of the article during which any copies of the content (or specified parts of it, such as the Accepted Manuscript or Published Journal Article) held on the Institutional Systems must be withheld by the Institutional Systems, using access controls, from being made openly accessible (i.e. without any requirement for access authentication) through the Internet.

“Funder” means the body or bodies (if any) under whose funding terms the article or other relevant content was prepared, or the work on which it is based was carried out.

“Green Route Article” means an article or paper submitted to the

model” and made openly available using the Open Access Green Route.

“Gold Route Article” means an article or paper submitted to the Publisher for publication under the standard Creative Commons license types as chosen by the author and applied by Publisher. This includes Open Access Articles published in both Publisher Hybrid Journals and open access journals.

“Hybrid Journal” means a journal title in which articles that are not Open Access Articles are published in the same issue as Open Access Articles.

“Institutional System” means a system, such as an institutional repository or current research information system (CRIS), which an Institution uses to collect, store or make publicly available, research outputs including Green Route Articles and information relating to research outputs.

“Open Access Article” means articles or other published content that is accessible or submitted on the basis that it will be accessible whether immediately following any Embargo Period or otherwise, on an open access basis.

“Open Access Green Route” means a route whereby an accepted or final peer reviewed version of research output is deposited in electronic archives such as institutional Systems and open access to the accepted manuscript or other output can be granted either immediately or after an embargo period

“Scheduled Maintenance” means the non-availability of the API which is scheduled by the Publisher outside Access Support Hours at least a week in advance and

- » Enhance support for Green OA
 - » Support a broader range of OA options and suppliers
 - » Take advantage of new opportunities in collections management and discovery
-

Conclusion

Reasons for optimism?

Thank you

Carolyn Alderson

Deputy director, Jisc Collections

Carolyn.alderson@jisc.ac.uk

jisc.ac.uk